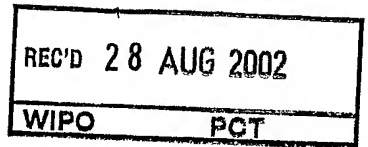


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I HEREBY CERTIFY that annexed hereto is a true copy of documents filed in connection with the following patent application:

Application No. S2001/0748

Date of Filing 9 August 2001

Applicant CHRISTY CUMMINS, an Irish Citizen of 54 Knockowen, Tullamore, County Offaly, Ireland.

Dated this 13 day of August 2002.

**PRIORITY  
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S010748

FORM NO. 1

**REQUEST FOR THE GRANT OF A PATENT  
PATENTS ACT, 1992**

The Applicant named herein hereby request

   the grant of a patent under Part II of the Act

  X   the grant of a short-term patent under Part III of the Act

on the basis of the information furnished hereunder.

**1. APPLICANT**

Name

Christy Cummins

Address

54 Knockowen, Tullamore, County Offaly, Ireland

Description/Nationality

An Irish Citizen

**2. TITLE OF INVENTION**

"Surgical Stapling Device and Method"

**3. DECLARATION OF PRIORITY ON BASIS OF PREVIOUSLY FILED  
APPLICATION FOR SAME INVENTION (SECTIONS 25 & 26)**

Previous filing date

Country in or for  
which filed

Filing No.

**4. IDENTIFICATION OF INVENTOR(S)**

Name(s) of person(s) believed by Applicant(s) to be the inventor(s)

1. Christy Cummins

Address

1. 54 Knockowen, Tullamore, County Offaly, Ireland

**5. STATEMENT OF RIGHT TO BE GRANTED A PATENT (SECTION 17(2)(B))**

By virtue of the Applicant being the inventor

Contd./...

6. **ITEMS ACCOMPANYING THIS REQUEST - TICK AS APPROPRIATE**

- (i) ☒ prescribed filing fee EUR 63.49 (£50.00)
- (ii) ☒ specification containing a description and claims  
☐ specification containing a description only
- ☒ Drawings referred to in description or claims
- (iii) ☒ An abstract
- (iv) ☐ Copy of previous application(s) whose priority is claimed
- (v) ☐ Translation of previous application whose priority is claimed
- (vi) ☒ Authorisation of Agent (this may be given at 8 below if this Request is signed by the Applicant(s))

7. **DIVISIONAL APPLICATION**

The following information is applicable to the present application which is made under Section 24

Earlier Application No:

Filing Date:

8. **AGENT**

The following is authorised to act as agent in all proceedings connected with the obtaining of a Patent to which this request relates and in relation to any patent granted -

**Name**

F. R. KELLY & CO.

**Address**

at their address as recorded for the time being in the Register of Patent Agents

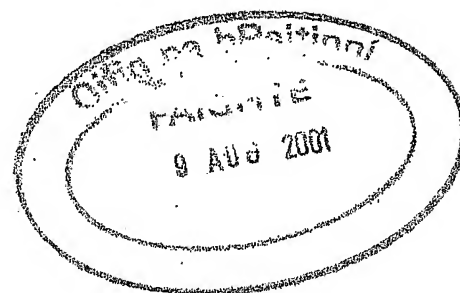
9. **ADDRESS FOR SERVICE (IF DIFFERENT FROM THAT AT 8)**

CHRISTY CUMMINS  
F. R. KELLY & CO.

By:

  
EXECUTIVE

Date: August 9, 2001





S010748

1

APPLICATION No.                     

# Surgical Stapling Device and Method

This invention relates to a surgical stapling device and method of surgical stapling.

5

When performing catheterisation procedures, such as angiography or angioplasty, a catheter is generally introduced into the vascular system by first penetrating the skin, underlying tissues and blood vessel with a sharpened hollow needle. Next, a guidewire is commonly inserted through the lumen of the hollow needle and is caused to enter the selected blood vessel. Subsequently the needle is typically stripped off the guidewire and the combination of a dilator and/or introducer are fed over the guidewire and pushed through the skin to enter the blood vessel. The guidewire can then be removed and the desired catheter to carry out the procedure is fed through the lumen of the introducer and advanced through the vascular system until the working end of the catheter is appropriately positioned. Following the conclusion of the catheterisation procedure the working catheter will be withdrawn and subsequently the dilator and/or introducer will also be removed from the wound.

25 Following this procedure the vessel puncture must be closed in order to prevent loss of blood through the puncture hole.

Typically the wound is closed by maintaining external pressure over the vessel until the puncture naturally

seals. This procedure can take approximately 30 minutes with the length of time usually being greater if the patient is hypertensive or anticoagulated. The procedure can also be uncomfortable for the patient and  
5 involves costly professional time on the part of the hospital staff. Other pressure techniques such as pressure bandages, sand bags or clamps have been employed but these also involve ensuring the patient remains motionless for the extended period of time and  
10 is monitored to ensure the effectiveness of the procedure.

Therefore there is a need for a device which will close and seal the arterial puncture quickly and easily  
15 allowing the patient to become mobile within a short period of time, thereby increasing the throughput of patients in the catheterisation lab and increasing the availability of hospital staff for other duties.

20 Accordingly, the invention provides a surgical stapling device comprising an elongate housing, a surgical staple slidable longitudinally within the housing towards a free forward end thereof, the staple having a back and two forwardly pointing legs, a staple-firing  
25 mechanism for driving the staple towards the free end of the housing, bending the staple to bring the free ends of the legs towards one another to close the staple, and releasing the closed staple, the stapling device further including a cap located at the free end  
30 of the housing at a position where, in operation of the staple-firing mechanism, the cap becomes trapped between the back of the closed staple and human or animal tissue being stapled so as to provide a greater

area of pressure on the tissue than in the absence of the cap.

Preferably the device further includes an elongated  
5 locator member slidable longitudinally within the housing between an initial forward position wherein the locator member projects beyond the free end of the housing to enter a puncture site in a liquid-carrying vessel in a human or animal, thereby to locate the free  
10 end of the housing at the puncture site, and a rearward position wherein the locator member is retracted into the housing in coordination with the closure of the staple, wherein the cap has a central opening which fits over the projecting locator member in its initial  
15 forward position, the locator member being withdrawn from the central opening when it is retracted into the housing.

Most preferably the closure of the staple effects a  
20 lateral compression of the cap to at least partially close the central opening.

The invention further provides a method of stapling human or animal tissue, the method including providing  
25 a stapling device comprising an elongate housing, a surgical staple slidable longitudinally within the housing towards a free forward end thereof, the staple having a back and two forwardly pointing legs, and a staple-firing mechanism for driving the staple towards  
30 the free end of the housing, bending the staple to bring the free ends of the legs towards one another to close the staple, and releasing the closed staple, the method further including placing a cap at the free end

of the housing and operating the staple-firing mechanism, the cap being located at a position where, in operation of the staple-firing mechanism, the cap becomes trapped between the back of the closed staple and human or animal tissue being stapled so as to provide a greater area of pressure on the tissue than in the absence of the cap.

A preferred embodiment of the invention to be described with reference to the drawings comprises a surgical stapling device as described in Irish Patent Application S2000/0722 in combination with a disk-like cap. The stapling device comprises a handle from which extends an elongated housing (shaft). At its end remote from the handle the shaft terminates in a bullet-like head from which, in an initial "pre-fire" position of the device, a locator tube extends a predetermined distance. A surgical staple with forwardly pointing legs is located wholly within the head of the shaft in the pre-fire position. A generally circular disk having a central opening is positioned over the locator tube concentric with and flush against the head of the shaft. The diameter of the disk is equal to or less than the diameter of the head of the shaft. On each side of the disk generally U-shaped slots are positioned to allow the staple legs to pass through the disk when they are advanced forward during deployment of the staple.

The locator tube locates the head of the shaft centrally over the puncture hole. On activation of the staple firing mechanism the staple is advanced forward so that the legs stab the vessel wall. As the firing

cycle continues the locator tube is retracted back into the head of the device and the staple legs are deformed through  $90^{\circ}$  in order to form a generally rectangular shape. Forming the staple causes the disk to become trapped between the staple back and vessel wall with additional lateral compression of the two side slots so as to close the central opening by which the disk was positioned on the locator tube.

10 The staple and cap combination has the effect of bringing the two sides of the central opening together and also creating a tamponade effect over an increased surface area so as to bring about haemostasis in a short time.

15 An embodiment of the invention will now be described, by way of example, with reference to the accompanying drawings, in which:

Fig. 1 is a perspective view of a staple and cap combination used in the present embodiment;

Fig. 2 is a perspective view of the head end of a surgical stapling device according to the present embodiment in a pre-fire position;

Fig. 3 is a side elevation of stapling device of Fig. 2 in the pre-fire position; and

Figs. 4(a) to 4(c) show successive stages in firing a staple into the wall of a blood vessel using the device of Fig. 2, the left hand column being plan views of the



device and the right hand column being equivalent axial views of the staple and cap.

Fig. 1 shows a preferred surgical staple 10 and cap or  
5 cover 12 for use in the embodiment. The staple 10 is a  
generally U-shaped staple identical to that described  
in Irish Patent Application S2000/0722. The cap 12 is  
a generally circular flat deformable disk with a  
generally oval central opening 14 and two diametrically  
10 opposite generally U-shaped slots 16 extending radially  
inwardly from the periphery of the disk. The  
dimensions of the oval opening 14 are substantially the  
same as the external dimensions of an oval cross-  
section blood locator tube 30 (Fig. 2), and the major  
15 axis of the oval opening 14 is substantially normal to  
the direction of closure of the staple legs 22.

The disk 12 is constructed from a soft malleable  
biocompatible metal such as Titanium or a semi-flexible  
20 material such as Dacron, Hydrogel, Collagen or  
Cellulose, or other biocompatible material such as PLA,  
PGA or PLGA. When the staple 10 is positioned against  
the disk 12 in use, the hump 18 in the back 20 of the  
staple is aligned with one half of the oval opening 14  
25 and the staple legs 22 project through the slots 16.

Fig. 2 shows a surgical stapling device according to  
the embodiment of the invention in its "pre-fire"  
position. Apart from the presence of the disk 12, the  
30 device may be identical to that described in Irish  
Patent Application S2000/0722, from which full details  
may be obtained. Briefly, however, the device  
comprises an elongate housing or shaft 24 extending

from a pistol-grip type handle (not shown) and terminating in a bullet-like head 26. The staple 10 is slidable longitudinally within the head 26, towards the free forward end 28 of the shaft 24, on a blood locator tube 30 slidable longitudinally within, and initially projecting forwardly beyond, the shaft. The legs 22 of the staple point forwardly. In operation, a trigger on the handle operates a staple-firing mechanism (not shown) within the shaft 24 which drives the staple 10 towards the free end 28, bends the staple to bring the free ends of the legs 22 towards one another to close the staple, and finally releases the closed staple. During this process the blood locator tube 30 is retracted into the housing in coordination with the closure of the staple.

According to the embodiment, the stapling device further includes the disk 12 which is located against the free end 28 of the shaft 24 at a position where, in operation of the staple-firing mechanism, the disk becomes trapped between the humped back 20 of the closed staple and the human or animal tissue being stapled so as to provide a greater area of pressure on the tissue than would be provided by the back 20 of the staple alone.

In particular, in the "pre-fire" position of the device, the locator tube 30 extends through the oval opening 14 in the disk 12 and extends a pre-determined distance beyond the free end 28 of the shaft. The staple 10, while positioned further back in the head 26, is in the same general alignment with the disk as shown in Fig. 1. However, it is important that the

staple legs 22 are positioned back in the staple head behind the disk 12 and not protruding beyond the free end 28 of the staple head. Fig. 3 is a side elevational view of the stapler head shown in Fig. 2.

5 It can be seen that the staple 10 is positioned across the locator tube 30 and behind the disk 12 at a distance at least equivalent to the length of the staple legs 22.

10 The steps involved in firing the staple 10 and disk 12 are illustrated in Figs. 4(a) to 4(c).

First, Fig. 4(a), the stapler head 26 is tracked over a guidewire 32 through the percutaneous tract until the front end of the locator tube 30 enters a blood vessel 34 providing positive indication that the free end 28 of the shaft 24 is sitting on the outer surface of the vessel adjacent the puncture hole 36 with the staple and disk positioned centrally across the puncture hole.

20

Now the staple-firing mechanism is operated to drive the staple forwardly such that the staple legs 22 puncture the wall of the vessel 34, Fig. 4(b). At this point the locator tube 30 is also being retracted back into the staple head 26.

25

Continued operation of the staple-firing mechanism now causes the outer portions of the staple back 20 (i.e. the portions on either side of the hump 18) and the legs 22 to bend through approximately  $90^{\circ}$  towards one another and close the staple 10 to a generally rectangular configuration, Fig. 4(c). At this point the staple 10 is compressing the cap 12 against the

30

outer surface of the vessel wall thereby closing the  
puncture hole 36. During the final steps in the  
deployment process it is important to substantially  
close the oval opening 14 in the cap to prevent blood  
5 from leaking once the staple and cap are deployed.  
Thus, as seen in Fig. 4(c), as the staple back 20 is  
deformed, causing the staple legs 22 to arc through  
90°, the opposite edges of the disk 12 are compressed  
inwards causing the central oval opening 14 to close.

10

An alternative embodiment (not shown) of the disk 12  
may, instead of the slots 16, have two diametrically  
opposite holes which do not extend to the edges of the  
disk 12. In use these holes are aligned with the  
15 staple legs 22 so that the latter project through the  
holes.

Furthermore, if the disk 12 is made of a resilient  
material the oval opening 14 may be replaced by a  
20 simple slit extending substantially normal to the  
direction of closure of the staple legs, the slit being  
resiliently forced apart by insertion of the blood  
locator tube 30 and closing automatically, by reason of  
the resilience of the disk, when the tube 30 is  
25 retracted. Such an arrangement will not rely on  
closure of the slit by compression by the staple as the  
latter is deformed.

The invention is not limited to the embodiments  
30 described herein which may be modified or varied  
without departing from the scope of the invention.

**Claims**

1. A surgical stapling device comprising an elongate housing, a surgical staple slidable longitudinally  
5 within the housing towards a free forward end thereof, the staple having a back and two forwardly pointing legs, a staple-firing mechanism for driving the staple towards the free end of the housing, bending the staple to bring the free ends of the legs towards one another  
10 to close the staple, and releasing the closed staple, the stapling device further including a cap located at the free end of the housing at a position where, in operation of the staple-firing mechanism, the cap becomes trapped between the back of the closed staple  
15 and human or animal tissue being stapled so as to provide a greater area of pressure on the tissue than in the absence of the cap.
2. A device as claimed in claim 1, wherein the free  
20 end of the housing has a circular cross-section and the cap is generally in the form of a disk positioned substantially concentrically relative to the free end.
3. A device as claimed in claim 2, wherein the disk  
25 has two diametrically opposite apertures for accommodating the legs of the staple.
4. A device as claimed in claim 3, wherein the  
apertures comprise slots extending radially inwards  
30 from the periphery of the disk.
5. A device as claimed in any preceding claim, further including an elongated locator member slidable

longitudinally within the housing between an initial forward position wherein the locator member projects beyond the free end of the housing to enter a puncture site in a liquid-carrying vessel in a human or animal, thereby to locate the free end of the housing at the puncture site, and a rearward position wherein the locator member is retracted into the housing in coordination with the closure of the staple, wherein the cap has a central opening which fits over the projecting locator member in its initial forward position, the locator member being withdrawn from the central opening when it is retracted into the housing.

6. A device as claimed in claim 5, wherein the disk is made of a resilient material and the central opening is a slit extending substantially normal to the direction of closure of the staple legs, the slit being resiliently forced apart by insertion of the blood locator tube and closing automatically, through the resilience of the disk, when the blood locator tube is retracted.

7. A device as claimed in claim 5, wherein the central opening is generally oval with its major axis substantially normal to the direction of closure of the staple legs.

8. A device as claimed in claim 7, wherein the closure of the staple effects a lateral compression of the cap to at least partially close the central opening.

9. A method of stapling human or animal tissue, the method including providing a stapling device comprising an elongate housing, a surgical staple slidable longitudinally within the housing towards a free  
5 forward end thereof, the staple having a back and two forwardly pointing legs, and a staple-firing mechanism for driving the staple towards the free end of the housing, bending the staple to bring the free ends of the legs towards one another to close the staple, and  
10 releasing the closed staple, the method further including placing a cap at the free end of the housing and operating the staple-firing mechanism, the cap being located at a position where, in operation of the staple-firing mechanism, the cap becomes trapped  
15 between the back of the closed staple and human or animal tissue being stapled so as to provide a greater area of pressure on the tissue than in the absence of the cap.

**Abstract**

(Fig. 2)

A surgical stapling device comprises an elongate  
5 housing 24 and a surgical staple slidable  
longitudinally within the housing towards a free  
forward end 28 thereof, the staple having a back and  
two forwardly pointing legs 22. The housing contains a  
staple-firing mechanism for driving the staple towards  
10 the free end of the housing, bending the staple to  
bring the free ends of the legs towards one another to  
close the staple, and releasing the closed staple. A  
cap 12 is located at the free end of the housing at a  
position where, in operation of the staple-firing  
15 mechanism, the cap becomes trapped between the back of  
the closed staple and human or animal tissue being  
stapled so as to provide a greater area of pressure on  
the tissue than in the absence of the cap.

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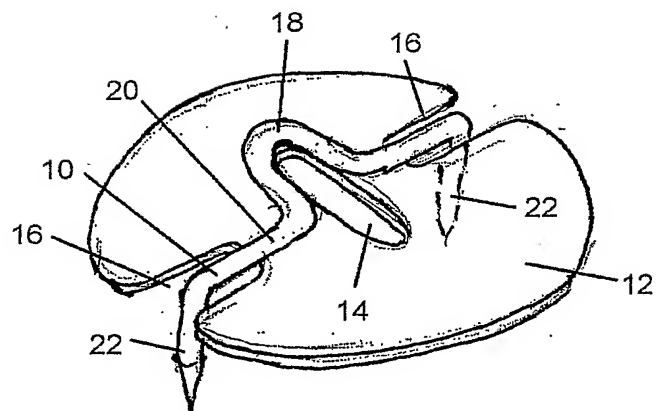


Fig. 1

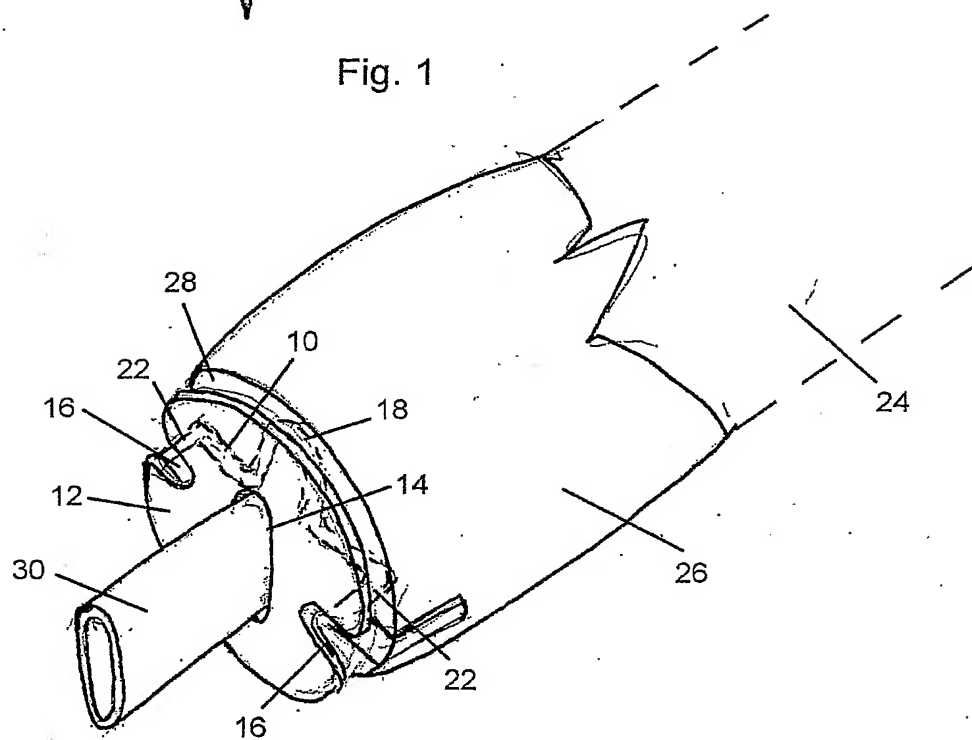


Fig. 2

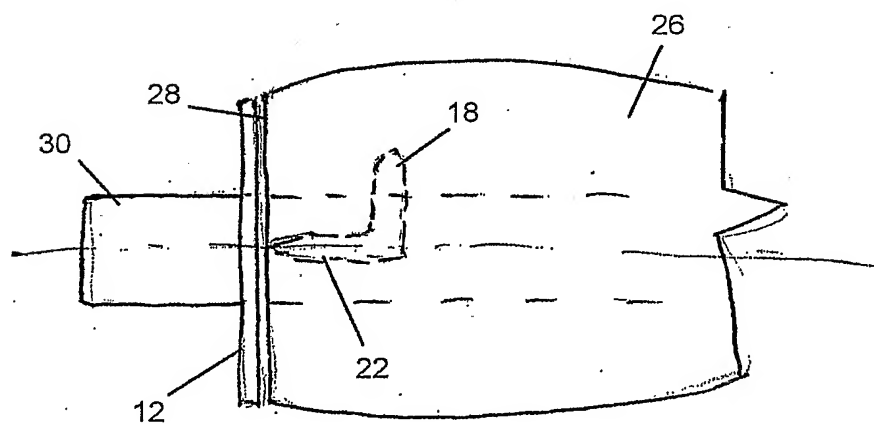


Fig. 3

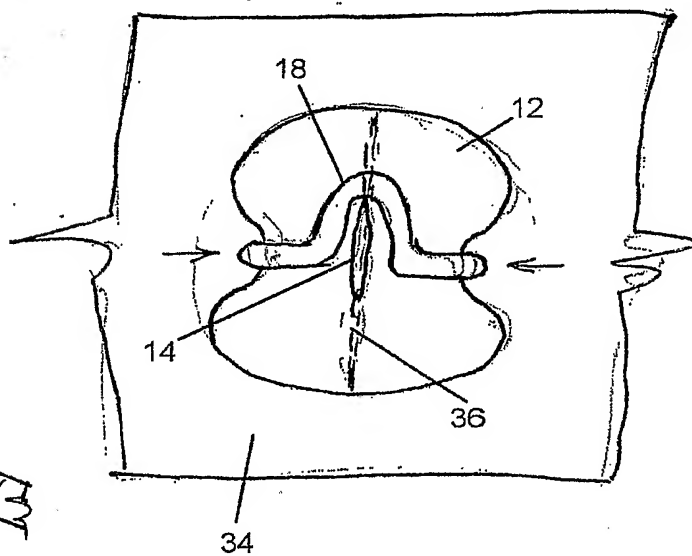
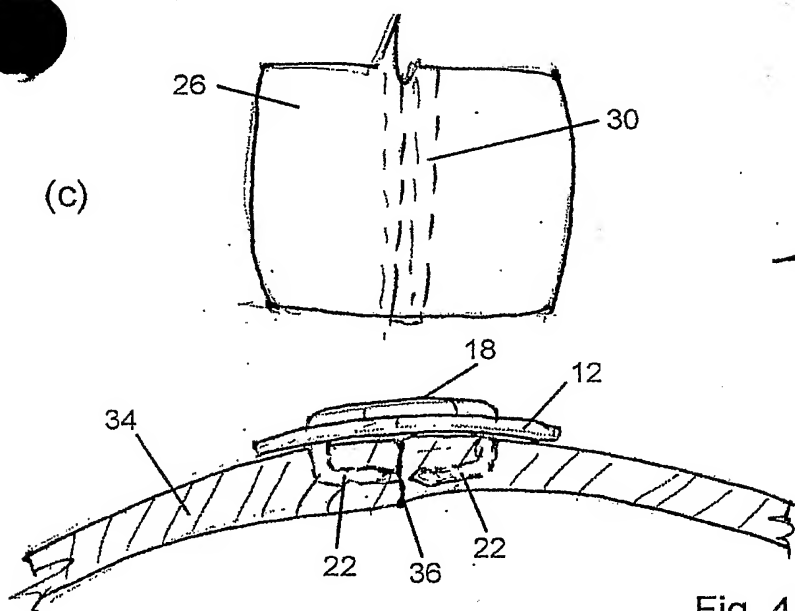
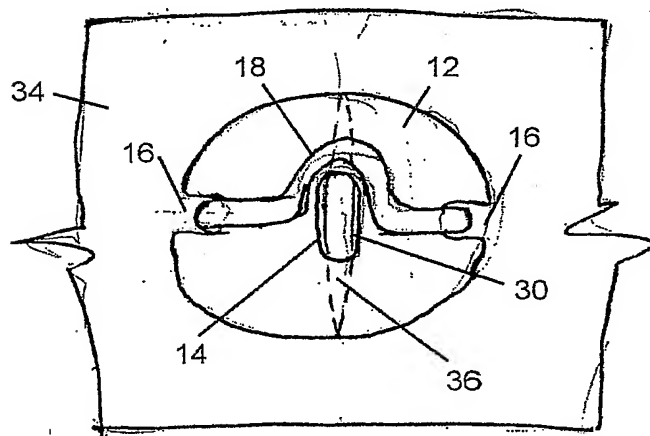
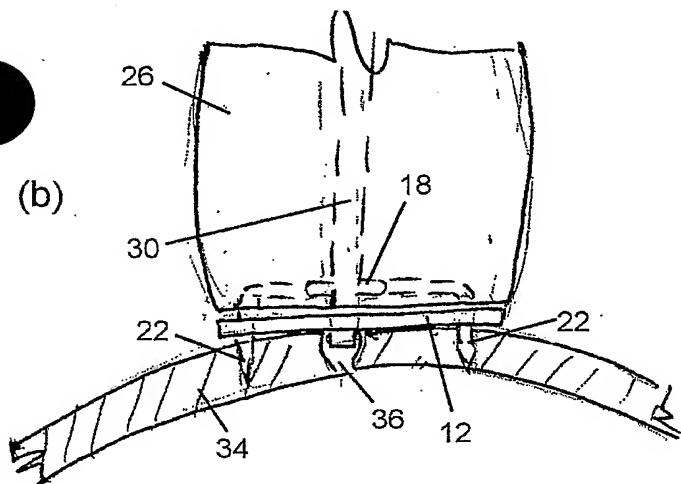
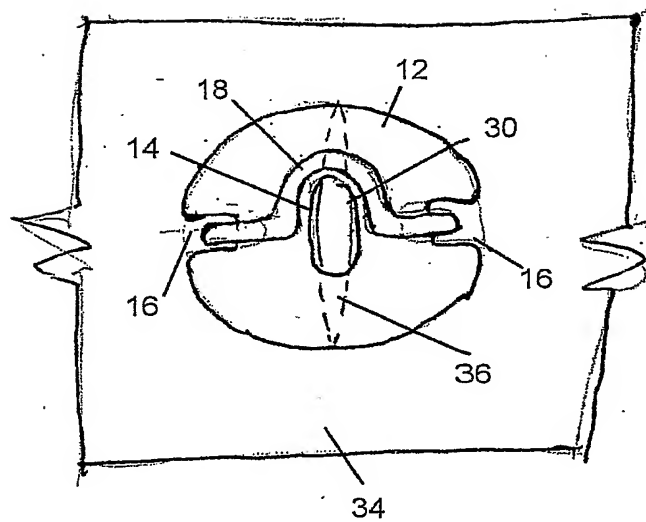
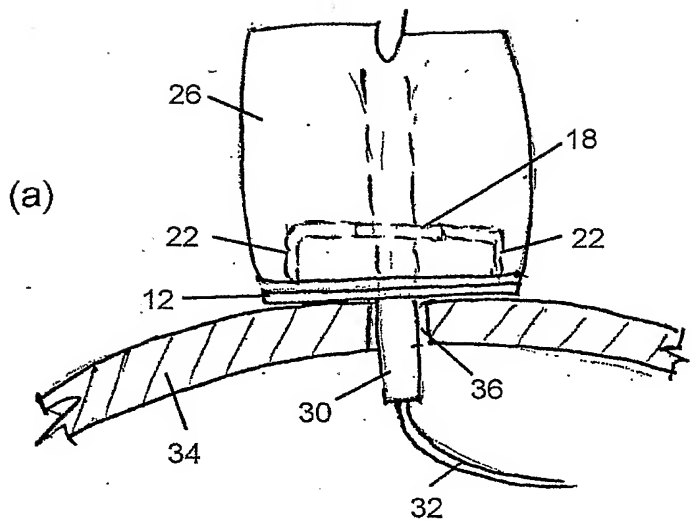


Fig. 4